

EUROPEAN COMMISSION

Employment, Social Affairs and Inclusion DG

Europe 2020: Social Policies Demography, Migration, Social Innovation, Civil society

CALL FOR PROPOSALS VP/2011/009

CALL FOR PROPOSALS FOR SOCIAL EXPERIMENTATIONS

PROGRESS 2011

BUDGET HEADING 04.040102

In view of the large number of enquiries, please do not telephone.

Questions should be sent by email only to:

EMPL-PROGRESS-VP-2011-009@ec.europa.eu

EMILE TROOKEDS VI 2011 009 @ cc.curopu.cu

This text is available in English, French and German. The English version is the original.

To ensure a more rapid response it would be helpful if applicants sent their queries in English or French.

I.	TE	EXT OF THE CALL VP/2011/009	3
1.	IN	TRODUCTION AND CONTEXT	3
2.	OI	BJECTIVES & EXPECTED RESULTS	4
	2.1.	BACKGROUND AND OBJECTIVES	4
	2.2.	METHODOLOGY	
	2.3.	RESULTS	
	2.4.	SCOPE	
	2.5.	INDICATIVE AMOUNT AND MAXIMUM POSSIBLE RATE OF CO-FINANCING	6
3.	EI	LIGIBILITY, SELECTION & AWARD CRITERIA	6
	3.1.	EXCLUSION AND ELIGIBILITY CRITERIA	6
	3.2.	SELECTION CRITERIA	7
	3.3.	AWARD CRITERIA	8
4.	AV	VAILABLE BUDGET FOR THIS CALL	9
5.	M	AXIMUM PERCENTAGE OF CO-FINANCING BY THE UNION	9
6.	SU	JBMISSION OF GRANT APPLICATIONS	9
	6.1.	START AND DURATION OF PROJECTS	9
	6.2.	DEADLINE FOR SUBMISSION OF PROPOSALS	9
	6.3.	RULES OF SUBMISSION	
	6.4.	EVALUATION PROCESS	12
II	. PR	ROGRESS - ADDITIONAL CONDITIONS FOR CALLS FOR PROPOSALS 2011	13
1.	GU	UIDE ON HOW THE ACTVIITIES SHALL BE CARRIED OUT	13
2.	PU	UBLICITY AND INFORMATION REQUIREMENTS	13
3.	RI	EPORTING REQUIREMENTS	14
4.	IN	FORMATION ON PARTNERS IN PROGRESS-FUNDED PROJECTS	14

I. TEXT OF THE CALL VP/2011/009

1. Introduction and Context

PROGRESS¹ is the EU's employment and social solidarity programme set up to provide financial support for the attainment of the European Union's objectives in employment, social affairs and equal opportunities. The realisation of these objectives relies on a combination of instruments comprising EU legislation, the implementation of open methods of coordination in various policy fields and financial incentives such as the European Social Fund.

The PROGRESS mission is to strengthen the EU's contribution in support of Member States' commitments and efforts to create more and better jobs and to build a more cohesive society. To this effect, PROGRESS is instrumental in:

- Providing analysis and policy advice on PROGRESS policy areas.
- Monitoring and reporting on the implementation of EU legislation and policies in PROGRESS policy areas.
- Promoting policy transfer, learning and support among Member States on EU objectives and priorities.
- Relaying the views of the stakeholders and society at large.

More specifically, PROGRESS supports:

- The implementation of the European Employment Strategy (section 1);
- The implementation of the open method of coordination in the field of social protection and inclusion (section 2);
- The improvement of the working environment and conditions including health and safety at work and reconciling work and family life (section 3);
- The effective implementation of the principle of non-discrimination and promotion of its mainstreaming in all EU policies (section 4);
- The effective implementation of the principle of gender equality and promotion of its mainstreaming in all EU policies (section 5).

The present call is issued in the context of the implementation of the 2011 annual work plan which can be consulted at http://ec.europa.eu/social/main.jsp?catId=658&langId=fr.

¹ Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress, JO L 315 of 15.11.2006.

2. OBJECTIVES & EXPECTED RESULTS

2.1. Background and objectives

EUROPE 2020 strategy recognises that the Europe Union's success in delivering smart, sustainable and inclusive growth largely depends on its ability to innovate across all fronts. Two of its flagship initiatives put this priority centre stage: the 'Innovation Union' and the 'Platform against Poverty and Social Exclusion'

The 'Platform against Poverty and Social Exclusion'² promotes social innovation and social experimentation – which is called here 'evidence-based social policy innovation' – as renewed ways to address challenges of social policies: constant adaptation of schemes and measures to evolving social needs, participation of stakeholders in all steps of the policy cycle, evaluation of the social impact of decisions.

Through this call for proposals on social experimentation, PROGRESS countries embarking on welfare reforms are offered financial support to experiment the envisaged policy changes and reforms before implementing them, if successful, on a wide scale. This will entail small scale testing, on the basis of rigorous evaluation methodology, of envisaged reforms in those Member States that choose to benefit from this support opportunity. At the present juncture this would often be related to reviews of social spending as part of austerity packages.

The present call focuses on the methodological and governance aspects of the project in the different phases of policy reforms. In this context, particular attention should be given to the design and evaluation of the projects and on mutual learning from the experimentations. This approach is consistent with the emphasis on good governance of the European Union and the increased need to ensure quality of public spending while responding to citizens' needs and expectations.

Selected projects have to contribute to developing and testing socially innovative approaches to policy priorities in the context of the Europe 2020 Strategy and the Open Method of Coordination on social protection and social inclusion. To be selected under this call, projects should focus on either of the following selected themes, keeping in mind in all cases the gender dimension of the issue:

- Social inclusion of vulnerable groups, such as Roma people, migrants and their descendants, homeless and young people.
- Quality of childcare services: this has great impacts on child well-being, but also on gender equality, poverty in jobless households, employment rates, birth rates and on long term sustainable development by supporting the development of human potential.
- Active and healthy ageing: this depends on various factors, such as life habits, working conditions or urban policies and represents a major condition in order to extend working lives and to reduce social protection expenditures.
- Transition from education to work for the youth as only a multidimensional policy approach combining actions on the education framework, the labour market, families can be successful.

-

² COM(2010) 758 final, 16 December 2010.

2.2. Methodology

This call offers to applicants the possibility to develop social experimentation projects according to the following protocol/steps:

- Design of the policy intervention: a rigorous description of the logically structured set
 of actions envisaged as part of the policy reform should be provided. It should ensure
 that the different incentives, opportunities, or constraints to which the population will
 be confronted with are identified and described
- Design of the experimentation method: preference will be given to the random assignment method that randomly assigns the potential beneficiaries of the policy/interventions to either a treatment group or a comparison group. Other evaluation method such as the quasi-experimental design (non-randomized assignment) may be considered as long as the impact of the interventions being tested is credibly ascertained. The expected outcomes of the interventions should be stated in clear and measurable terms to serve as yardsticks for determining the extent of the policy intervention's success.
- Lessons for policy design: a rigorous analysis and interpretation of the results should be put in place with a view to arriving at shared conclusions about the up-scaling potential of the tested policies taking into account elements such as the context, in which the policy has to be implemented, the feasibility, the acceptability and the timeliness of the proposed solutions.

Please note that a guide on the social experimentation protocol will be provided on the following website: http://ec.europa.eu/social/main.jsp?catId=630&langId=en.

This call for proposals focuses on public policy interventions and is therefore addressed to policy-makers at national, regional or local levels. However, social experimentations cannot be conducted without the involvement of other stakeholders such as public authorities, civil society organisations, private business enterprises, scientists and practitioners in the design, the implementation and the evaluation of the project.

Given the considerable investment required to conduct a social experimentation or a rigorous quasi-experimental project, this call is intended to support no more than 3-5 major social experimentations. The Commission will ensure the dissemination of evidence gathered across the PROGRESS participating countries.

At the final stage of the project the promoter has to organise a peer review involving all project partner(s) including partner(s) from at least one other PROGRESS participating country. The European Commission may organise thematic workshops in order to publicise and disseminate the lessons learnt.

Apart from assessing the specific impact of the social experimentation on the target group and conducting peer reviews, the overall effectiveness, including cost-effectiveness, of the project should be assessed at the end of the activities.

2.3. Results

This call on social experimentations intends to improve the quality and effectiveness of social policies and to facilitate their adaptation to new social needs and societal challenges.

New social programmes and policies always contain some uncertainty and risks. This call on social experimentations offers opportunities for decision-makers to base their decisions on objective and rigorous results. It also aims to expand the knowledge-base on how policies

work, support evidence-based and participative policy-making and intensify the mutual learning among various actors in the PROGRESS participating countries.

The significance of a social experimentation depends not only on whether it is used to improve or estimate the impact of new social policies or programs, but also on the information it provides to improve existing or envisaged social policies or programs.

2.4. Scope

The relevant projects should address issues such as:

- Design, management and methods to evaluate social experimentations.
- Strategies to scale-up social experimentations and to generalise its results into broader policy measures.
- Cooperation and networking activities.

The social experimentation projects shall include partnerships between different stakeholders that are involved in the various stages of the process: public bodies, local authorities, civil society, private sector, academic sector, social partners etc.

The European Commission may disseminate the results of the relevant projects to the European Social Fund managing authorities to consider the scaling-up of the successfully tested social innovations.

2.5. Indicative amount and maximum possible rate of co-financing

The overall indicative amount made available for this call is EUR 3 500 000.

Community financial aid granted will not exceed 80% of the total eligible costs of the action. The applicant has to guarantee the co-financing of the remaining 20%. Contributions in kind are not accepted as co-financing³.

To allow a massive dissemination of knowledge and results across PROGRESS countries, this call is intended to support 3-5 major social experimentations.

3. ELIGIBILITY, SELECTION & AWARD CRITERIA

3.1. Exclusion and eligibility criteria

Exclusion criteria

The applicants should certify that they are not in one of the situations listed in Articles 93(1), 94 and 96(2)a of the Financial Regulation⁴. This declaration by the applicant is annexed to the on-line application.

³ By contributions in kind we mean the provision of goods and services to the beneficiary free of charge by a third party. Contributions in kind do not therefore involve any expenditure for the beneficiary and his partners and are not entered in their account. For instance, an applicant might make use of premises free of charge for the organisation of events, or there might be voluntary workers involved in a project (please note that staff costs for personnel involved in the project are not considered as in-kind contribution and are therefore eligible costs).

⁴ The situations referred to include bankruptcy, compulsory winding-up, being under court administration, in an arrangement with creditors or any other similar proceedings; convictions of professional misconduct; non fulfilment of social security or tax payment obligations; convictions of fraud, corruption, involvement in a criminal organisation or any other illegal activity; declared in serious breach of contract in relation to activities

Applicants will be excluded from this Call for Proposals if they do not submit the grant application by the appropriate deadlines (evidenced as being posted on or before the deadlines), using the appropriate form with no alterations, amendments or deletions, duly completed and accompanied by the requisite supporting documents

Eligibility of the applicant

The applicant should meet the following eligibility criteria:

- 1. This call is accessible to stakeholders from all PROGRESS participating countries, including the EFTA countries, the candidate countries and the pre-candidate countries⁵.
- 2. Applicants must be public authorities, state/semi-state agencies at central, regional or local level of the PROGRESS participating countries.
- 3. They should be properly constituted and registered organisations (legal person) established in one of the PROGRESS participating countries with an established administrative and financial management structure.

Eligibility of the proposal

The proposal submitted for funding should meet the following eligibility criteria:

- 1. Be complete and comply with the rules for submission published in the call for proposals.
- 2. Only seeks funding for activities in PROGRESS participating countries.
- 3. Be carried out by a partnership made up of at least two categories of partners: public authority, or state/semi-state agencies at central, regional or local level, and at least one civil society organisation (NGO, social enterprise, social partners etc). Including academic structures and/or profit-making enterprises, and/or public, civil society, private or academic partners from other PROGRESS countries in the wider partnership is strongly encouraged.
- 4. Have to organise at the final stage of the project a peer review involving all project partner(s) and, if necessary with the help of the Commission services, project(s) in a similar theme from at least one other PROGRESS participating countries.
- 5. The budget of the project may neither include direct financial support to the participants in the target groups, nor the acquisition of immovable property and/or vehicles.
- 6. The proposal must respect the maximum limit for EU co-financing (80%).

3.2. Selection criteria

Only proposals which have complied with the requirements of the eligibility and exclusion criteria will be eligible for further evaluation.

Only organisations with the necessary financial and operational capacity may be awarded a grant.

funded by the Community budget; subject to conflict of interest; guilty of misrepresentation in supplying the required information.

⁵ EU27, Norway, Iceland, Liechtenstein, Croatia, Former Yugoslav Republic of Macedonia, Turkey and potential candidate country (Serbia).

Financial capacity

The applicant must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary. The financial capacity of the applicant must be confirmed by the provision in the proposal of the following items:

- 1. The declaration on honour (includes the financial capacity to carry out the activity).
- 2. The balance sheet and profit and loss account for the last financial year.

The verification of financial capacity will not apply to public bodies.

Operational capacity

The applicant must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. The applicant must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

The operational capacity of the applicant must be confirmed by the provision in the proposal of the following items:

- 1. The declaration on honour (includes the operational capacity to carry out the activity);
- 2. Detailed CVs (educational and professional qualifications) and job specifications of the project manager/coordinator/external experts and of all the persons who will perform the main tasks;
- 3. A list of the main projects carried out in the last three years and which are relevant to the scope of this call.

3.3. Award criteria

Evaluators will assess the project proposals according to the criteria listed below. The maximum rating for each category is indicated in brackets. The maximum total points that a proposal may obtain is 100. Proposals which do not receive 70 points will not be considered for funding.

Policy Criteria (60 points)

- 1. Relevance of the project to the specific objective of this call: promotion of a social experimentation as defined and for the purposes outlined in this call. (10 points)
- 2. Relevance of the background analysis: the extent to which the proposal presents a well-supported diagnosis of the social needs to be addressed and demonstrates the relevance of the proposed social experimentation to advancing social programmes and policies. (10 points)
- 3. Quality of the social experimentation (or quasi-experimental) design: relevant and clear objectives, target groups, activities, project outcomes; final achievement and impact evaluation framework to assess its outcomes and to evaluate its impacts in both economic and social dimension. Preference is given the comparison between experimental group(s) with similar control group(s), but alternative evaluation methodologies are accepted as long as the impact of the measure being tested is credibly ascertained. (25 points)
- 4. Quality of the "up-scaling" strategy (the ability of the project to be implemented at a larger scale or into broader policy measures, including its sustainability). (15 points)

Organisational Criteria (25 points)

- 1. Organisational capacity to achieve the objectives through the proposed activities with realistic deadlines. (6 points)
- 2. Quality of partnerships: good balance between partners (public sector, civil society, academic structures, private sector etc.).(6 points).
- 3. Quality of the framework for project monitoring, evaluation and peer review.(7 points)
- 4. Quality of the communication and awareness-raising strategy.(6 points)

Financial Criteria (15 points)

- 1. Adequacy of the allocated resources (human and financial) to the planned activities (cost-effectiveness).(10 points)
- 2. Overall quality, clarity and completeness of the budget.(5 points)

4. AVAILABLE BUDGET FOR THIS CALL

The overall indicative amount made available for this call is EUR 3 500 000.

5. MAXIMUM PERCENTAGE OF CO-FINANCING BY THE UNION

Community financial aid granted will not exceed 80% of the total eligible costs of the action. The applicant has to guarantee the co-financing of the remaining 20%. Contributions in kind are not accepted as co-financing.

Applications which request a grant of more than 80% will be automatically excluded from the selection.

6. SUBMISSION OF GRANT APPLICATIONS

6.1. Start and duration of projects

Activities should start between 01/03/2012 and 01/06/2012 for a maximum duration of 24 months and minimum duration of 18 months.

In view of the time required to evaluate applications, actions may not start before the deadline given above. Applicants should note that if their project is selected, they will not necessarily receive the grant agreement prior to the action starting date indicated and should, therefore, take this into account in programming the timing of their project.

Any expenditure incurred before signature of the grant agreement is at the applicant's risk.

6.2. Deadline for submission of proposals

The proposals must be submitted electronically online and sent by post or by personal delivery in two hard copies to the Commission, by <u>15/12/2011</u> at the latest.

Proposals which do not respect the date of submission will not be considered by the Evaluation Committee.

6.3. Rules of submission

Applicants are invited to fill in the application form and present the project proposal.

The **Application form** and further information related to the call for proposals is provided on the following website: **http://ec.europa.eu/social/main.jsp?catId=630&langId=en**.

The electronic application in SWIM must be "valid". Invalid electronic applications are automatically excluded from further evaluation.

Please regularly check the web-site. Any additional information, including a regularly updated list of frequently asked questions, will be published on this address.

Questions can also be sent by e-mail to **EMPL-PROGRESS-VP-2011-009@ec.europa.eu**

The SWIM Application form is an electronic form which must be filled in online. Annexes, which are compulsory, must be also filled in and uploaded online (see part E of the online Application form). For this purpose, the Internet Web application SWIM must be used. SWIM enables you to introduce, edit and submit a grant application. You can access to SWIM at the web site: https://webgate.ec.europa.eu/swim/displayWelcome.do.

Before starting, please read carefully the "User's guide", which you will find at the top of the page ("Help on SWIM").

After filling in the form, applicants must submit it both electronically and in hard copy.

- 1) Electronic submission: **to validate the application, click on the "send" button**. This step is irreversible and must be carried out before the closing date.
- 2) Hard copy submission: duly completed applications accompanied by the annexes and all the required documentary proof should also be sent in duplicate to the addresses cited below by the deadline (their submission date will be taken as the date of dispatch, as evidenced by the postmark or the express courier receipt date). Proposals submitted after this date will not be eligible:
- a) By post to the following <u>postal address</u>:
 European Commission
 DG EMPL D/4
 Call for proposals VP/2011/009
 B-1049 Bruxelles
- b) Or by **personal delivery** against an acknowledgement of receipt from the Commission's central mail service by 16.00 hours on **15/12/2011** at the latest to the following address:

European Commission DG EMPL D/4 Call for proposals VP/2011/009 Central Courier Service Avenue du Bourget, 1 B-1140 Evere

The Applicant is requested to number the accompanying documents to be submitted with the applicantion as shown below and send 3 copies (1 original + 2 identical copies). Please do

make sure that the full set of the application form and all accompanying documents are included in your sending by post by the closing date. The absence of any of these documents may invalidate the application.

Order	Document	in SWIM
1	Print out of the checklist	YES
2	Complete application dossier 1 original and 2 copies of all the documents below are submitted Documents are printed double-sided, where possible Only 2-hole folders are used. The dossier is not bound or glued	NO
3	Original cover letter of application quoting the reference number of the SWIM application (VP/2011/009/XXXX), duly signed and dated by the legal representative of the applicant organisation.	NO
4	Print-out of the complete online application form including estimated budget, dated and <u>signed</u> by the legal representative of the applicant organisation.	YES
5	Print-out of Declaration on honour (articles 93 (1), 94 and 96 (2)a), signed by the legal representative of the applicant organisation attesting the financial and operational capacity.	YES
6	Letters of co-funding/partnership commitment signed by the legal representatives of the organisations concerned and <u>specifying the amount</u> of each financial contribution.	YES
7	Print-out of Financial identification form duly completed and signed by the accountholder of the applicant organisation and bearing the stamp and signature of the bank. http://ec.europa.eu/budget/info_contract/ftiers_en.htm?submenuheader=0 It is preferable to attach a copy of a recent bank statement , in which event the stamp of the bank and the signature of the bank's representative are not required. The signature of the account-holder is obligatory in all cases.	YES
8	Print-out of Legal entity form, completed and signed by the legal representative of the applicant organisation. http://ec.europa.eu/budget/info contract/legal entities en.htm?submenuheader=0 A copy of the VAT registration document if applicable and if the VAT number does not appear on the certificate of official registration or other official document attesting to the establishment of the entity or the official document referred to in document nr 12	YES
9	Print out of the document "Contracts for implementing the action" for subcontracting.	YES
10	Print-out of the detailed information on the action.	YES
11	Print out of the quantitative information on all the deliverables/outputs which you plan to develop during the implementation of the action grant, which you have already described in other parts of your application.	YES

12	Copy of the official registration certificate or any other official document	
	attesting to the establishment of the organisation (not necessary for public bodies &	NO
	international organisations).	
13	Detailed CVs (educational and professional qualifications) and	
	job specifications of the persons responsible for the overall	NO
	management of the action in the applicant's organisation and in	
	the partner organisations (project	NO
	manager/coordinator and experts Please refer	
	to CV models on http://www.europass.cedefop.europa.eu.	
14	A list of the main projects carried out in the last three years relating to the	NO
	objective of the call. In the case of work done for the Commission, applicants must	
	also indicate the reference number of the contract and the department for which the	
	contract was performed	
15	Profit and loss accounts and balance sheets for the last financial year from the	
	applicant's organisation (not necessary for public bodies & international	NO
	organisations).	
16	Copy of articles of association/statutes or equivalent, proving the eligibility of the	
	organisation. (not necessary for national or regional public authorities).	NO
17	For grant requests over €500.000,00 or for organisations subject to statutory audit	NO
	of their annual accounts, an external audit report produced by an approved	
	auditor, certifying the last accounting exercise(not necessary for national or	NO
	regional public authorities).	

The application form must be <u>electronically submitted</u> before printing. After the electronic submission, no further changes to the application are possible.

If an Applicant submits more than one proposal, each application must be submitted separately.

With regard to presentation of applications, please:

- 1. Applications may be submitted in any of the official languages of the European Union, but it would be helpful to apply in English, French or German in order to facilitate the selection process.
- 2. Follow the order of documents as listed in the checklist and number them.
- 3. Print the documents double-sided, where possible.
- 4. Use 2-hole folders (do not bind or glue).

Note that only <u>complete</u> valid electronic applications submitted online and paper copies sent <u>in time (15/12/2011)</u> by registered mail or by personal delivery against an acknowledgement of receipt from the Commission's central mail service will be taken into account.

6.4. Evaluation Process

All applications will be examined by an independent Evaluation Committee which will consider the eligibility, selection and award criteria set out in this document.

Only proposals which satisfy the eligibility and selection criteria will be assessed against the award criteria.

Upon completion of its work, the Evaluation Committee will draw up a list of proposals recommended for funding.

The Commission will inform each applicant of the final decision taken.

Applicants whose proposals have not been selected for funding will be informed of the results of the evaluation process including reasons for rejections in writing.

II. PROGRESS - ADDITIONAL CONDITIONS FOR CALLS FOR PROPOSALS 2011

1. GUIDE ON HOW THE ACTVITTIES SHALL BE CARRIED OUT

The PROGRESS Programme aims to promote gender mainstreaming in all its five policy sections and supported activities. Consequently, the Beneficiary shall take the necessary steps to ensure that:

- Gender equality issues are taken into account when relevant for the drafting of the proposal by paying attention to the situation and needs of women and men;
- Implementation of proposed activities includes a gender perspective by considering systematically the women and men dimension;
- Performance monitoring includes the collection and gathering of data disaggregated by sex when needed;
- Its proposed team and/or staff respect the gender balance at all levels.

Equally, needs of disabled people shall be duly acknowledged and met while implementing the proposed activities. This will ensure in particular that where the Beneficiary organises training sessions and conferences, issues publications or develops dedicated websites, people with disabilities will have equal access to the facilities or the services provided.

Finally, the Contracting Authority encourages the Beneficiary to promote equal employment opportunities for all its staff and team. This entails that the Beneficiary is encouraged to foster an appropriate mix of people, whatever their ethnic origin, religion, age, and ability.

The Beneficiary will be required to detail in its final activity report the steps and achievements made towards meeting these contractual requirements.

2. PUBLICITY AND INFORMATION REQUIREMENTS

In accordance with the General conditions, all beneficiaries are under the obligation to acknowledge that the present activity has received funding from the Union in all documents and media produced, in particular final delivered outputs, related reports, brochures, press releases, videos, software, etc, including at conferences or seminars. In the context of the European Union Programme for Employment and Social Solidarity – PROGRESS, the following formulation shall be used:

This (publication, conference, training session etc) is supported by the European Union Programme for Employment and Social Solidarity - PROGRESS (2007-2013).

This programme is implemented by the European Commission. It was established to financially support the implementation of the objectives of the European Union in the employment, social affairs and equal opportunities area, and thereby contribute to the achievement of the Europe 2020 Strategy goals in these fields.

The seven-year Programme targets all stakeholders who can help shape the development of appropriate and effective employment and social legislation and policies, across the EU-27, EFTA-EEA and EU candidate and pre-candidate countries.

For more information see: http://ec.europa.eu/progress

For publications it is also necessary to include the following reference: "The information contained in this publication does not necessarily reflect the position or opinion of the European Commission".

With regard to publication and any communication plan linked to the present activity, the Beneficiary will insert the European Union logo and mention the European Commission as the Contracting Authority in every publication or related material developed under the present grant agreement.

3. REPORTING REQUIREMENTS

PROGRESS is implemented through a results-based management - RBM. Managing for outcomes and results is about working to maximise results for European citizens. This includes:

- Identifying the most important results for European citizens;
- Managing these results, including setting out clearly the desired results, implementing plans based upon these results and learning about 'what works' in the process;
- Seizing opportunities to work together whenever this helps achieve the results.

The Strategic Framework, developed in collaboration with Member States and civil society organisations, sets out the intervention logic for Progress-related expenditure and defines PROGRESS' mandate and its long-term and immediate outcomes. It is supplemented by performance measures which serve to determine the extent to which PROGRESS has delivered the expected results. See in Annex the overview of PROGRESS performance measurement framework. For more information on the strategic framework, please visit PROGRESS website http://ec.europa.eu/social/main.jsp?catId=659&langId=en.

The Commission regularly monitors the effect of PROGRESS-supported initiatives and considers how they contribute to PROGRESS outcomes as defined in the Strategic Framework. In this context, the Beneficiary will be asked to dedicatedly work in close cooperation with the Commission and/or persons authorised by it to define the expected contribution and the set of performance measures which this contribution will be assessed against. The Beneficiary will be asked to collect and report on its own performance to the Commission and/or persons authorised by it against a template which will be annexed to the grant agreement. In addition, the Beneficiary will make available to the Commission and/or persons authorised by it all documents or information that will allow PROGRESS performance measurement to be successfully completed and to give them the necessary rights of access.

4. INFORMATION ON PARTNERS IN PROGRESS-FUNDED PROJECTS

In order to increase the visibility of transnational partnerships established under PROGRESS and to facilitate networking between organisations involved in actions covered by PROGRESS grants, the Commission intends to publish the name and address of partners in

PROGRESS-funded projects together with the name and address of the beneficiary, the reference of the call for proposals and the title and description of the project. To that purpose, the Beneficiary will be asked to seek the partners' agreement to authorise the Commission to publish this data. This written agreement should be included in the letters of commitment sent to the Commission with the application form.

Overview of PROGRESS Performance Measurement Framework

PROGRESS Ultimate Outcome

Member States implement laws, policies and practices in a manner that contributes to the desired outcomes of the Social Agenda

PROGRESS works towards its ultimate outcome by helping strengthen the EU's support for Member States' efforts to create more and better jobs and to build a more cohesive society. PROGRESS seeks to contribute to (i) an **effective legal regime** in the EU in relation to the Social Agenda; (ii) **shared understanding** across the EU with regard to Social Agenda objectives; and (iii) **strong partnerships** working towards Social Agenda objectives.

In operational terms, support provided by PROGRESS facilitates (i) provision of analysis and policy advice; (ii) monitoring and reporting on the implementation of EU legislation and policies; (iii) policy transfer, learning and support among Member States; and (iv) relaying to decision-makers the views of the stakeholders and society at large.

Legal Regime Outcome:

Compliance in Member States with EU law related to PROGRESS areas.

Performance Indicators

- 1. Transposition rate of EU law on matters related to PROGRESS policy areas
- 2. Effectiveness of application in Member States of EU law on matters related to PROGRESS policy areas.
- 3. EU policies and legislation are grounded in thorough analysis of situation and responsive to conditions, needs and expectations in Member States in PROGRESS areas
- 4. Extent to which PROGRESS-supported policy advice feeds into the development and implementation of EU legislation and policies
- 5. Cross-cutting issues are addressed in PROGRESS policy sections
- 6. EU policies and legislation display a common underlying logic of intervention in relation to PROGRESS issues
- 7. Gender mainstreaming is systematically promoted in PROGRESS

Shared Understanding Outcome:

Shared understanding and ownership among policy/decision-makers and stakeholders in Member States, and the Commission, of objectives related to PROGRESS policy areas.

Performance Indicators

- 1. Attitudes of decision-makers, key stakeholders and general public regarding EU objectives in PROGRESS policy areas
- 2. Extent to which national policy discourses or priorities reflect EU objectives
- 3. Extent to which principles of good governance (including minimum standards on consultation) are respected in policy debate
- 4. Extent to which the outcomes of policy debates feed into the development of EU law and policy.
- 5. Greater awareness of policy-and decision-makers, social partners, NGOs, networks regarding their rights/obligations in relation to PROGRESS policy areas
- 6. Greater awareness of policy-and decisionmakers, social partners, NGOs, networks regarding EU objectives and policies in relation to PROGRESS policy areas

Strong Partnerships Outcome:

Effective partnerships with national and pan-European stakeholders in support of outcomes related to PROGRESS policy areas.

Performance Indicators

- 1. Existence of common ground/consensus among policy and decision-makers and stakeholders on EU objectives and policies
- 2. Identification and involvement by the EU of key actors in a position to exert influence or change at EU and national levels
- 3. Effectiveness of partnerships in relation to outcomes related to PROGRESS policy areas.
- 4. Number of individuals served or reached by networks supported by PROGRESS.
- 5. Extent to which advocacy skills of PROGRESS-supported networks have improved
- 6. Satisfaction of EU and national authorities with the contribution of networks
- 7. Extent to which PROGRESS-supported networks take a cross-cutting approach